**Rare Metal Materials and Engineering** Volume 50, Issue 11, November 2021 Available online at www.rmme.ac.cn



**Cite this article as**: Feng Jun, Li Biwen, Chen Wenbo, et al. Preparation of Al<sub>2</sub>O<sub>3</sub> Coatings as Tritium-Resistance Coating by Ion Implantation on 316L Stainless Steel[J]. Rare Metal Materials and Engineering, 2021, 50(11): 3896-3900.

# Preparation of $AI_2O_3$ Coatings as Tritium-Resistance Coating by Ion Implantation on 316L Stainless Steel

Feng Jun<sup>1</sup>, Li Biwen<sup>1</sup>, Chen Wenbo<sup>1</sup>, Chen Meiyan<sup>2</sup>, Dan Min<sup>2</sup>

<sup>1</sup> University of South China, Hengyang 421001, China; <sup>2</sup> Southwestern Institute of Physics, Chengdu 610041, China

Abstract: The alumina coatings as a tritium-resistance coating were deposited on 316L stainless steel by ion implantation. The effects of different parameters of ion implantation process on the wear resistance, corrosion resistance, thermal shock resistance, and tritium permeation resistance of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> were investigated. Results show that the content of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> is affected by temperature, acceleration voltage, and ion implantation dose. With increasing the temperature, the content of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> is increased. With increasing the acceleration voltage or ion implantation dose, the content of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> is firstly increased and then decreased. The wear resistance and corrosion resistance becomes better. The Al<sub>2</sub>O<sub>3</sub> coatings hardly changes after 200 times of thermal shock tests, indicating a good thermal shock resistance. The tritium permeability of the coated specimen is reduced by 3 orders of magnitude, compared with that of the 316L stainless steel bulk.

Key words: ion implantation; alumina coatings; tritium-resistance coating

Tritium is an important nuclear material, and it is widely used in the nuclear fusion<sup>[1]</sup>. As tritium has high permeability and toxicity, preparation of tritium-resistance coating becomes one of the key scientific and technological problems in the field of tritium self-support and tritium safety protection in nuclear fusion<sup>[2]</sup>. Because of its high tritium permeation reduction factor and self-repairing performance, Al<sub>2</sub>O<sub>2</sub> coating attracts much attention<sup>[3]</sup> and is regarded as the priordevelopment coating in the field of nuclear fusion<sup>[4]</sup>. At present, the preparation of  $\alpha$  -Al<sub>2</sub>O<sub>3</sub> of high content at low temperature is a technical difficulty for the preparation of Al<sub>2</sub>O<sub>3</sub> tritium-resistance coating. The results show that ion bombardment plays an important role in the formation of  $\alpha$  -Al<sub>2</sub>O<sub>2</sub> at low temperature and is closely related to the bombardment energy<sup>[5]</sup>. The accumulated energy caused by defects in materials due to ion bombardment can be released at a certain temperature, thereby promoting the formation of  $\alpha$  -Al<sub>2</sub>O<sub>2</sub>. Ion implantation can produce the high energy for plasma bombardment, and the cascade collision of ion implantation can also cause a lot of defects which are more

beneficial to the formation of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> in theory<sup>[6]</sup>. In addition, ion implantation is widely used in the surface modification, which is conducive to the improvement of the actual service performances (wear resistance, corrosion resistance, thermal shock resistance, etc.) of tritium-resistance coatings<sup>[7,8]</sup>. In this research, the tritium-resistance coating of Al<sub>2</sub>O<sub>3</sub> prepared by O-ion implantation was studied. The influence of acceleration voltage, ion implantation dose, and the temperature on the phase structure of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> coatings was discussed; meanwhile the influence of ion implantation dose on the coating properties was discussed.

# 1 Experiment

The 316L stainless steel substrate was aluminized by magnetron sputtering, and then the O-ion implantation experiment was conducted. The 316L stainless steel specimens with dimension of  $\Phi$ 20 mm×3 mm were used and the thickness of Al coating is about 5 µm. In the oxygen implantation experiment process, base vacuum, O pressure, and acceleration current were  $2.0 \times 10^{-4}$  Pa,  $2 \times 10^{-2}$  Pa, and 3

Received date: November 25, 2020

Foundation item: National Key Research and Development Program of China (2018YFE0313100); Scientific Research Project of Hunan Provincial Department of Education (18A249)

Corresponding author: Feng Jun, Ph. D., Associate Professor, University of South China, Hengyang 421001, P. R. China, Tel: 0086-734-8281665, E-mail: speedfjkang@163.com

Copyright © 2021, Northwest Institute for Nonferrous Metal Research. Published by Science Press. All rights reserved.

mA, respectively; acceleration voltage ranged from 10 kV to 70 kV; ion implantation dose ranged from  $4 \times 10^{17}$  ion/cm<sup>2</sup> to  $1.0 \times 10^{18}$  ion/cm<sup>2</sup>.

The phases of coatings were characterized by X-ray diffraction (XRD). The observation surface of specimens was treated by X 'PertProMPD powder diffractometer with Ni filtered Cu K $\alpha$  radiation ( $\lambda = 0.154$  18 nm) and scintillation detector with 20=25°~90°.

The wear resistance of tritium-resistance coatings was tested by ball and disk friction. The dual ball was WC ball, the sliding speed was 5 mm/s, and the load was 1.96, 4.9, and 9.8 N. The corrosion resistance was tested by the electrochemical test system composed of electrochemical analyzer and analysis software. The corrosion current density and corrosion potential were analyzed. The thermal shock resistance of the specimen was tested by muffle furnace. The specimen was heated to a fixed temperature of 600 °C which is the working temperature of tritium-resistance coatings, and then immersed in water at room temperature until it was cooled completely. The surface of the coatings was observed. Due to the strict control of tritium use, the deuterium gas phase permeation method was used to measure the tritium permeability of the coatings.

# 2 Results and Discussion

#### 2.1 Microstructure and composition of coating

Fig. 1 shows the scanning electron microscope (SEM) images of Al coating and  $Al_2O_3$  coating. After the oxygen ion implantation, the surface of coatings is uniform without obvious defects. The grain size of  $Al_2O_3$  coating after O-ion implantation is smaller than that of Al coating after magnetron sputtering, suggesting that ion implantation has the grain



Fig.1 SEM images of Al coating after magnetron sputtering (a) and  $Al_2O_3$  coating after O-ion implantation (b)

refinement effect.

As shown in Fig.2, after oxygen ions are injected into the Al coating, there is no obvious interface between the injection layer and the Al coating. Table 1 shows the energy disperse spectroscopy (EDS) analysis results of area 1 and area 2 in Fig.2. After oxygen ion implantation, the oxygen content in the middle part (area 1) of  $Al_2O_3$  coating is very low, while that in the superficial part (area 2) of the  $Al_2O_3$  coating is high, reaching 25.31at%. The atomic ratio of aluminum to oxygen is similar to that of alumina, indicating that alumina may form in the coating.

Fig.3 shows the auger electron spectroscopy (AES) result of  $Al_2O_3$  coating after O-ion implantation. The distribution of



Fig.2 EDS images of Al<sub>2</sub>O<sub>3</sub> coating after O-ion implantation

Table 1	EDS analysis results of area 1 in Fig. 2a and area 2 in
	Fig.2b (at%)

Area	Fe	Al	0
1	4.35	95.65	-
2	-	74.69	25.31



Fig.3 AES result of Al<sub>2</sub>O<sub>3</sub> coatings obtained by O-ion implantation

oxygen along depth conforms to the quasi-Gaussian law. The depth of oxygen ion implantation is less than 0.6  $\mu$ m, i.e., the thickness of the coatings is less than 0.6  $\mu$ m.

# 2.2 Influences of process parameters on coating

# 2.2.1 Temperature

Under the fixed conditions of acceleration voltage of 50 kV and ion implantation dose of  $6 \times 10^{17}$  ion/cm<sup>2</sup>, the phases of coatings treated at different temperatures are shown in Fig.4. The diffraction peaks corresponding to  $2\theta$  of  $38.5^{\circ}$ ,  $44.8^{\circ}$ , 65.2°, 78.2°, and 82.5° indicate the presence of Al phase; the diffraction peaks corresponding to  $2\theta$  of  $43.5^{\circ}$ ,  $35.1^{\circ}$ ,  $57.5^{\circ}$ , and 68.3° indicate the presence of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> phase; the diffraction peaks corresponding to  $2\theta$  of 66.7°, 45.7°, 37.5°, and 39.4° indicate the presence of  $\gamma$ -Al<sub>2</sub>O<sub>2</sub> phase. At the lowest temperature of 280 °C,  $\alpha$ -Al<sub>2</sub>O<sub>2</sub> phase with good crystallinity can be obtained by ion implantation. With increasing the temperature from 280 °C to 450 °C, the content of  $\alpha$ -Al<sub>2</sub>O<sub>2</sub> is increased obviously. Through software analysis, the content of  $\alpha$ -Al<sub>2</sub>O<sub>2</sub> is about 33.2% at 450 °C. With further increasing the temperature, the content of  $\alpha$ -Al<sub>2</sub>O<sub>2</sub> is slightly increased. At 650 °C, the content of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> is about 37.6%. The increase in temperature can promote the orderly arrangement of atoms and facilitate the generation of crystalline  $\alpha$  -Al<sub>2</sub>O<sub>2</sub> coating. Considering the influence of high temperature on 316L stainless steel substrate (sensitization temperature of 650 °C) and the fact that ion implantation may cause a certain temperature rise (normally lower than 100 °C) of the substrate surface, the ion implantation temperature was set at 450 °C for the subsequent experiments.

#### 2.2.2 Acceleration voltage

Under the fixed condition of temperature of 450 °C and ion implantation dose of  $6 \times 10^{17}$  ion/cm<sup>2</sup>, the phases of coatings treated under different acceleration voltages are shown in Fig. 5. When the acceleration voltage is 10 kV, there is no  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> but a small amount of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>. At acceleration voltage of 30 kV,  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> appears. With increasing the acceleration voltage to 50 kV, the content of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> is increased to some extent; with increasing the acceleration voltage to 70 kV,  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> is decreased slightly. In brief, the content of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> becomes higher with increasing the acceleration voltage from 10 kV to 50 kV, while it becomes lower as the acceleration



Fig.4 XRD patterns of Al<sub>2</sub>O<sub>3</sub> coatings after ion implantation at different temperatures



Fig.5 XRD patterns of Al<sub>2</sub>O<sub>3</sub> coatings after ion implantation at different acceleration voltages

voltage increases from 50 kV to 70 kV. The increasing voltage indicates the enhanced effect of injection and bombardment caused by higher injection energy<sup>[9]</sup>, and  $\alpha$  -Al<sub>2</sub>O<sub>3</sub> can be formed at low temperature, resulting in a certain increase of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> content in the early stage. When the voltage exceeds a certain value, the high atomic injection energy may cause more violent collision among the internal atoms, which easily leads to the formation of voids, thereby making the material more defective and affecting the crystal structure of the coating.

#### 2.2.3 Ion implantation dose

Under the fixed condition of temperature of 450 °C and acceleration voltage of 50 kV, the phases of coatings treated under different ion implantation doses are shown in Fig. 6. When the ion implantation dose is  $6 \times 10^{17}$  ion/cm<sup>2</sup>,  $\alpha$  -Al<sub>2</sub>O<sub>3</sub> appears. As the ion implantation dose increases to  $8 \times 10^{17}$ ion/cm<sup>2</sup>, the content of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> increases significantly. When the ion implantation dose increases to  $1.0 \times 10^{18}$  ion/cm<sup>2</sup>,  $\alpha$  -Al<sub>2</sub>O<sub>3</sub> content decreases, and amorphous characteristics appear. The quantity of defects is increased with increasing the ion implantation dose, and an appropriate number of defects can promote the formation of  $\alpha$  -Al<sub>2</sub>O<sub>3</sub>. In brief, the content of  $\alpha$  -Al<sub>2</sub>O<sub>3</sub> becomes higher with increasing the ion implantation dose from  $4 \times 10^{17}$  ion/cm<sup>2</sup> to  $8 \times 10^{17}$  ion/cm<sup>2</sup>, while it becomes lower as the ion implantation dose increases



Fig.6 XRD patterns of Al<sub>2</sub>O<sub>3</sub> coatings after ion implantation with different ion implantation doses

from  $8 \times 10^{17}$  ion/cm<sup>2</sup> to  $1.0 \times 10^{18}$  ion/cm<sup>2</sup>.

In general, the acceleration voltage, ion implantation dose, and temperature have a positive effect on the phase structure of coatings. The content of coating phases can be increased by increasing the abovementioned parameters in a certain range. However, when the parameters are too high, the phase structure of coating will degrade.

#### 2.3 Coating performance

#### 2.3.1 Friction and wear resistance

It can be seen from Fig.7 and Fig.8 that with increasing the ion implantation dose, the coefficient of friction (COF) of the prepared specimen is reduced, the wear mass is also reduced. and therefore the friction and wear performance is greatly improved. The friction and wear performance strongly depends on the physical and chemical properties (hardness, strength, etc.) near the surface. If the physical and chemical properties are better, the friction and wear performance will be better. Because the increase in ion implantation dose causes the amorphous injection layer rather than crystal or crystalline structure of substance, the coating has better physical, chemical, and mechanical properties with high strength and high hardness. Generally, after ion implantation, the subsurface structure of the implanted layer changes, forming a high hardness zone<sup>[10,11]</sup>. The friction and wear performance of the coatings is improved after ion implantation. The larger the



Fig.7 Coefficient of friction of Al<sub>2</sub>O<sub>3</sub> coatings after ion implantation at different ion implantation doses



Fig.8 Wear mass of Al<sub>2</sub>O<sub>3</sub> coating afterion implantation at different ion implantation doses

ion implantation dose, the better the performances are.

#### 2.3.2 Corrosion resistance

Fig. 9 shows the corrosion resistance of Al<sub>2</sub>O<sub>2</sub> coatings prepared on stainless steel substrate with different process parameters in 3.5wt% NaCl solution. Compared with the stainless steel matrix, all Al<sub>2</sub>O<sub>3</sub> coating specimens have an obvious passivation phenomenon: the self-corrosion potential increases, and corrosion current density reduces. With increasing the ion implantation dose, the self-corrosion potential of the coatings is increased gradually. When the ion implantation dose is 1.0×10<sup>18</sup> ion/cm<sup>2</sup>, the self-corrosion potential of the coating reaches the maximum value of -0.085 V, while the corrosion current of coating reaches the minimum value of 0.003  $\mu$ A/cm<sup>2</sup>. Therefore, the corrosion rate is the lowest. This is mainly because with increasing the ion implantation dose, the prepared coatings become thick, uniform, and amorphous. Thereby the coatings have better corrosion resistance. The larger the ion implantation dose, the better the corrosion resistance<sup>[12]</sup>.

# 2.3.3 Thermal shock resistance

In order to test the bonding strength of  $Al_2O_3$  coatings, the thermal shock resistance of  $Al_2O_3$  coatings was tested. The results show that all the  $Al_2O_3$  coatings do not peel off and hardly change after 200 thermal cycling tests at 600 ° C. Although the thermal shock resistance of the coatings prepared at different ion implantation doses is slightly different, all the  $Al_2O_3$  coatings are well bonded with the stainless steel substrate and show good thermal shock resistance. Fig. 10 shows the surface morphology of  $Al_2O_3$  coating treated with ion implantation dose of  $8 \times 10^{17}$  ion/cm<sup>2</sup> after 200 times of thermal shock at 600 °C. It can be found that there is no peeling or crack on the coating surface, so the  $Al_2O_3$  structure has good thermal shock resistance.

#### 2.4 Tritium permeability

The coating treated under the condition of temperature of 450 °C, acceleration voltage of 50 kV, and ion implantation dose of  $8 \times 10^{17}$  ion/cm<sup>2</sup> was selected for the tritium permeability tests.

The value of steady-state permeation flux J, the specimen thickness, and basal area were measured after the tests, and



Fig.9 Corrosion resistance of 316L substrate and Al<sub>2</sub>O<sub>3</sub> coatings after ion implantation at different ion implantation doses



Fig.10 SEM image of surface morphology of  $Al_2O_3$  coating treated with ion implantation dose of  $8 \times 10^{17}$  ion/cm<sup>2</sup> after 200 times of thermal shock at 600 °C

then the permeability ( $\varphi$ ) could be calculated by Eq. (1), as follows<sup>[13,14]</sup>:

$$\varphi = \frac{JL}{SP} \tag{1}$$

where J is steady state permeation flux, L is specimen thickness, S is specimen basal area, and P is deuterium pressure.

The results show that the coatings have a good resistance to tritium penetration. At 600 °C, compared with that of 316L stainless steel substrate, the factor of resistance to deuterium penetration of  $Al_2O_3$  coatings is 1442, so the tritium permeability of the coated specimen is reduced by 3 orders of magnitude<sup>[15]</sup>. Therefore, the deuterium/tritium resistance performance of the coatings is good.

# **3** Conclusions

1) For the Al<sub>2</sub>O<sub>3</sub> coatings prepared by ion implantation on 316L stainless steel, the temperature can increase the content of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> within a certain range (from 280 °C to 650 °C). The higher temperature can promote the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> growth.

2) The acceleration voltage can affect the content of  $\alpha$  -Al<sub>2</sub>O<sub>3</sub> in the coatings. The content of  $\alpha$  -Al<sub>2</sub>O<sub>3</sub> becomes higher with increasing the acceleration voltage from 10 kV to 50 kV, while it becomes lower as the acceleration voltage increases from 50 kV to 70 kV.

3) The ion implantation dose can also affect the content of  $\alpha$  -Al<sub>2</sub>O<sub>3</sub> in the coatings. The content of  $\alpha$  -Al<sub>2</sub>O<sub>3</sub> becomes

higher with increasing the ion implantation dose from  $4 \times 10^{17}$  ion/cm<sup>2</sup> to  $8 \times 10^{17}$  ion/cm<sup>2</sup>, while it becomes lower as the ion implantation dose increases from  $8 \times 10^{17}$  ion/cm<sup>2</sup> to  $1.0 \times 10^{18}$  ion/cm<sup>2</sup>.

4) The ion implantation dose can affect the wear resistance and corrosion resistance of the coatings. The resistance becomes better with increasing the ion implantation dose. The thermal shock resistance is good (the coatings hardly changes after 200 times of thermal shock), and the tritium permeability of coated specimen is reduced by 3 orders of magnitude, compared with that of the 316L stainless steel bulk.

# References

- 1 Holtkamp N. Fusion Engineering and Design[J], 2009, 84(2-6): 98
- 2 Xiang Xin, Zhang Guikai, Wang Xiaolin et al. Rare Metal Materials and Engineering[J], 2016, 45(2): 522 (in Chinese)
- 3 Zhang Guikai, Li Ju, Chen Changan *et al. Rare Metal Materials and Engineering*[J], 2011, 40(6): 1120 (in Chinese)
- 4 Zhang Guikai, Wang Xiaolin, Xiong Yifu et al. Int J Hydrogen Energy[J], 2013, 38(2): 1157
- 5 Liu Hongbing, Tao Jie, Xu Jiang et al. Applied Surface Science [J], 2010, 256(20): 5939
- 6 Andersson J M, Czigány Z, Jin P et al. Journal of Vacuum Science and Technology A[J], 2004, 22(1): 117
- 7 Kumar A, Tarafder S, Mukherjee S et al. Journal of Materials Science[J], 2003, 38(12): 2667
- 8 Qu Quanyan, Qiu Wanqi, Zeng Dechang et al. Chinese Journal of Vacuum Science and Technology[J], 2009, 29(2): 184
- 9 Bai Bin, Zhang Pengcheng, Zou Juesheng. Acta Metallrugica Sinica[J], 2001, 37(1): 82
- 10 Wang Zhen, Cai Zhenbing, Shen Mingxue et al. Journal of Functional Materials[J], 2012, 43(19): 2689
- Sharkeev Y P, Kozlov E V, Didenko A N et al. Surf Coat Technol [J], 1996, 83(1): 15
- 12 Sharkeev Y P, Kozlov E V. Surf Coat Technol[J], 2002, 158-159: 219
- Wang Jinjun, Liu Zhanqi, Zhan Jingming. *Radiation Protection* [J], 2009, 29(5): 300 (in Chinese)
- Beyer W. Solar Energy Materials and Solar Cells[J], 2003, 78(1): 235
- 15 Yamada-Takamura Y, Koch F, Maier H et al. Surf Coat Technol [J], 2002, 153(2-3): 114

# 离子注入法在316L不锈钢基体上制备Al<sub>2</sub>O<sub>3</sub>阻氚涂层

冯 军<sup>1</sup>,李必文<sup>1</sup>,陈文波<sup>1</sup>,陈美艳<sup>2</sup>,但 敏<sup>2</sup>
(1.南华大学,湖南 衡阳 421001)
(2.核工业西南物理研究院,四川 成都 610041)

**摘 要:**采用离子注入技术在316L不锈钢基体上制备了氧化铝阻氚涂层。对离子注入法的不同工艺参数对α-Al<sub>2</sub>O<sub>3</sub>涂层摩擦磨损、耐腐蚀、抗热震、阻氚性能的影响进行了研究。结果表明:温度、加速电压及离子注入剂量对α-Al<sub>2</sub>O<sub>3</sub>的含量均有影响。温度升高时, α-Al<sub>2</sub>O<sub>3</sub>的含量增加。增大加速电压及离子注入剂量时,α-Al<sub>2</sub>O<sub>3</sub>含量均出现先升高后降低的规律。离子注入剂量对涂层的摩擦磨损、耐腐蚀性能影响比较大:离子注入剂量越大,涂层的耐磨、耐腐蚀性能越好。涂层经过200次热震测试后未发生变化,抗热震性能较好。 Al<sub>2</sub>O<sub>3</sub>膜层具有优异的阻氚性能,在600℃下能使316L不锈钢的氚渗透率降低3个数量级。 关键词:离子注入技术;氧化铝涂层;阻氚涂层

作者简介: 冯 军, 男, 1979年生, 博士, 副教授, 南华大学, 湖南 衡阳 421001, 电话: 0734-8281665, E-mail: speedfjkang@163.com